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**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
10857Z

In Re Application Of: **Douglas J. Hilton, et al.**

Serial No.  
09/037,657

Filing Date  
March 10, 1998

Examiner  
F. Hamud

Group Art Unit  
1647

Title: **NOVEL HAEMOPOIETIN RECEPTOR AND GENETIC SEQUENCES ENCODING SAME**

JAN 20 2004

TO THE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE:

Transmitted herewith is:

- Petition to Correct Inventorship Under 37 C.F.R. 1.48(a); Statement Under 37 C.F.R. 1.48(a)(1) x9; Statement Under 37 CFR 148(a)(5) Establishing Right of Assignee to Prosecute with copy of Assignment previously filed; Statement of Assignee Under 37 CFR 3.73(b) and Declaration and Power of Attorney x3 (for 12 inventors).

in the above identified application.

- ☐ No additional fee is required.
- ☒ A check in the amount of \$130.00 is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

  
Signature

Dated: January 16, 2004

Frank S. DiGiglio  
Registration No. 31,346  
Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

I certify that this document and fee is being deposited on 1/16/04 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Signature

Frank S. DiGiglio

Typed or Printed Name

cc: FSD/XZ:ab

**TRANSMITTAL LETTER  
(General - Patent Pending)**

Docket No.  
10857Z

In Re Application Of: **Douglas J. Hilton, et al.**

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09/037,657

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1647

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TO THE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE:

Transmitted herewith is:

Petition to Correct Inventorship Under 37 C.F.R. 1.48(a); Statement Under 37 C.F.R. 1.48(a)(1) x9; Statement Under 37 CFR 148(a)(5) Establishing Right of Assignee to Prosecute with copy of Assignment previously filed; Statement of Assignee Under 37 CFR 3.73(b) and Declaration and Power of Attorney x3 (for 12 inventors).

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Garden City, New York 11530  
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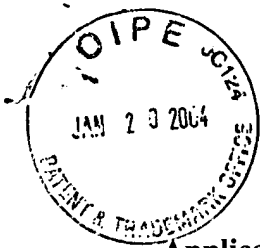
I certify that this document and fee is being deposited on 1/16/04 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Signature

Frank S. DiGiglio

Typed or Printed Name

cc: FSD/XZ:ab



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Douglas J. Hilton, et al.

**Examiner:** F. Hamud

**Serial No.:** 09/037,657

**Art Unit:** 1647

**Filed:** March 10, 1998

**Docket:** 10857Z

**For:** A NOVEL HAEMOPOIETIN RECEPTOR  
AND GENETIC SEQUENCES ENCODING  
SAME

Commissioner for Patents  
United States Patent and Trademark Office  
Alexandria, Virginia 22313-1450

**PETITION TO CORRECT  
INVENTORSHIP UNDER 37 C.F.R. §1.48(a)**

In accordance with the provisions of 37 C.F.R. §1.48(a), Petitioners respectfully request that the Commissioner correct the inventorship of U.S. Patent Application Serial No. 09/037,657.

Specifically, Petitioners seek to add Alison Farley, Warren Alexander, Steven Rakar, Tetsuo Kojima, Masatsugu Maeda, Yasufumi Kikuchi, Louis Fabri and Andrew Nash as inventors, and to delete Donald Metcalf as an inventor, in the above-identified U.S. Patent Application.

The omission of Alison Farley, Warren Alexander, Steven Rakar, Tetsuo Kojima, Masatsugu Maeda, Yasufumi Kikuchi, Louis Fabri and Andrew Nash as named coinventors, and the inclusion of Donald Metcalf as a co-inventor, were made through an error which occurred without any deceptive intention on the part of Alison Farley, Warren Alexander, Steven Rakar, Tetsuo Kojima, Masatsugu Maeda, Yasufumi Kikuchi, Louis Fabri, Andrew Nash or Donald Metcalf or anyone.

Accompanying this Petition are Statements under 37 C.F.R. §1.48(a)(1) of Alison Farley, Warren Alexander, Steven Rakar, Tetsuo Kojima, Masatsugu Maeda, Yasufumi Kikuchi, Louis Fabri, Andrew Nash and Donald Metcalf, respectively, acknowledging that the error occurred without any deceptive intention on their part.

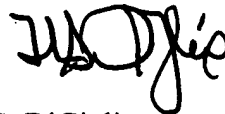
Also accompanying this Petition is a new declaration by the actual inventors as required by 37 C.F.R. § 1.48(a)(2) and 1.63.

In addition, a Statement of Assignee under 37 C.F.R. §3.73(b) and a Statement 37 C.F.R. §1.48(a)(5) are enclosed, together with a copy of the assignment from the originally-named inventors and new assignments from eight individuals hereby sought to be added as co-inventors.

The fee under 37 C.F.R. §1.17(i) is also enclosed with this Petition. Any additional charges required in connection with this submission may be charged to Deposit Account No. 19-1013/SSMP.

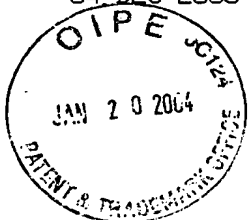
Accordingly, the Petitioners respectfully request that the Commissioner grant the instant petition to correct the inventorship of the above-identified application by the addition of Alison Farley, Warren Alexander, Steven Rakar, Tetsuo Kojima, Masatsugu Maeda, Yasufumi Kikuchi, Louis Fabri, and Andrew Nash, and the deletion of Donald Metcalf, as named coinventors.

Respectfully submitted,



Frank S. DiGiglio  
Reg. No. 31,346

SCULLY, SCOTT, MURPHY & PRESSER  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343  
FSD/XZ:ab

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****Applicants:** Douglas J. Hilton, et al.**Examiner:** F. Hamud**Serial No.:** 09/037,657**Art Unit:** 1647**Filed:** March 10, 1998**Docket:** 10857Z**For:** A NOVEL HAEMOPOIETIN RECEPTOR  
AND GENETIC SEQUENCES ENCODING  
SAMECommissioner for Patents  
Alexandria, VA 22313-1450**STATEMENT OF ALISON FARLEY**  
**UNDER 37 C.F.R. §1.48(a)(1)**

Sir:

I, Alison Farley, declare and say that:

(1) I have read the Petition to Add to and Delete Original Named Inventors under  
37 C.F.R. §1.48(a) submitted with this statement;

(2) I was originally omitted as a named co-inventor of the subject matter  
embodied in the U.S. Patent Application Serial No. 09/037,657 due to an error which occurred  
without any deceptive intention on my part.

✓ 4/12/03  
\_\_\_\_\_  
DATED✓ A. Farley  
\_\_\_\_\_  
ALISON FARLEY

H:\work\536\10857Z\MISC\STATE.AF



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Douglas J. Hilton, et al.

**Examiner:** F. Hamud

**Serial No.:** 09/037,657

**Art Unit:** 1647

**Filed:** March 10, 1998

**Docket:** 10857Z

**For:** A NOVEL HAEMOPOIETIN RECEPTOR  
AND GENETIC SEQUENCES ENCODING  
SAME

Commissioner for Patents  
Alexandria, VA 22313-1450

**STATEMENT OF WARREN ALEXANDER**  
**UNDER 37 C.F.R. §1.48(a)(1)**

Sir:

I, Warren Alexander, declare and say that:

(1) I have read the Petition to Add to and Delete Original Named Inventors under  
37 C.F.R. §1.48(a) submitted with this statement;

(2) I was originally omitted as a named co-inventor of the subject matter  
embodied in the U.S. Patent Application Serial No. 09/037,657 due to an error which occurred  
without any deceptive intention on my part.

f 2/12/2003  
\_\_\_\_\_  
DATED

x WSAlexander  
\_\_\_\_\_  
WARREN ALEXANDER



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Douglas J. Hilton, et al.

**Examiner:** F. Hamud

**Serial No.:** 09/037,657

**Art Unit:** 1647

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**For:** A NOVEL HAEMOPOIETIN RECEPTOR  
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SAME

Commissioner for Patents  
Alexandria, VA 22313-1450

**STATEMENT OF STEVEN RAKAR**  
**UNDER 37 C.F.R. §1.48(a)(1)**

Sir:

I, Steven Rakar, declare and say that:

(1) I have read the Petition to Add to and Delete Original Named Inventors under  
37 C.F.R. §1.48(a) submitted with this statement;

(2) I was originally omitted as a named co-inventor of the subject matter  
embodied in the U.S. Patent Application Serial No. 09/037,657 due to an error which occurred  
without any deceptive intention on my part.

x 2/12/03  
DATED

x   
STEVEN RAKAR



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Douglas J. Hilton, et al.

**Examiner:** F. Hamud

**Serial No.:** 09/037,657

**Art Unit:** 1647

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SAME

Commissioner for Patents  
Alexandria, VA 22313-1450

**STATEMENT OF TETSUO KOJIMA**  
**UNDER 37 C.F.R. §1.48(a)(1)**

Sir:

I, Tetsuo Kojima, declare and say that:

(1) I have read the Petition to Add to and Delete Original Named Inventors under  
37 C.F.R. §1.48(a) submitted with this statement;

(2) I was originally omitted as a named co-inventor of the subject matter  
embodied in the U.S. Patent Application Serial No. 09/037,657 due to an error which occurred  
without any deceptive intention on my part.

8 Nov. Dec. 2003  
DATED

T Kojima  
TETSUO KOJIMA





**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Douglas J. Hilton, et al.

**Examiner:** F. Hamud

**Serial No.:** 09/037,657

**Art Unit:** 1647

**Filed:** March 10, 1998

**Docket:** 10857Z

**For:** A NOVEL HAEMOPOIETIN RECEPTOR  
AND GENETIC SEQUENCES ENCODING  
SAME

Commissioner for Patents  
Alexandria, VA 22313-1450

**STATEMENT OF MASATSUGU MAEDA**  
**UNDER 37 C.F.R. §1.48(a)(1)**

Sir:

I, Masatsugu Maeda, declare and say that:

(1) I have read the Petition to Add to and Delete Original Named Inventors under  
37 C.F.R. §1.48(a) submitted with this statement;

(2) I was originally omitted as a named co-inventor of the subject matter  
embodied in the U.S. Patent Application Serial No. 09/037,657 due to an error which occurred  
without any deceptive intention on my part.

11 Dec, 2003  
DATED

  
\_\_\_\_\_  
MASATSUGU MAEDA



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Douglas J. Hilton, et al.

**Examiner:** F. Hamud

**Serial No.:** 09/037,657

**Art Unit:** 1647

**Filed:** March 10, 1998

**Docket:** 10857Z

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SAME

Commissioner for Patents  
Alexandria, VA 22313-1450

**STATEMENT OF YASUFUMI KIKUCHI**  
**UNDER 37 C.F.R. §1.48(a)(1)**

Sir:

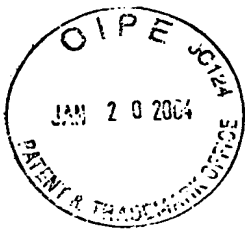
I, Yasufumi Kikuchi, declare and say that:

(1) I have read the Petition to Add to and Delete Original Named Inventors under  
37 C.F.R. §1.48(a) submitted with this statement;

(2) I was originally omitted as a named co-inventor of the subject matter  
embodied in the U.S. Patent Application Serial No. 09/037,657 due to an error which occurred  
without any deceptive intention on my part.

8 Dec. 2003  
DATED

Y. Kikuchi  
YASUFUMI KIKUCHI



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Douglas J. Hilton, et al.

**Examiner:** F. Hamud

**Serial No.:** 09/037,657

**Art Unit:** 1647

**Filed:** March 10, 1998

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SAME

Commissioner for Patents  
Alexandria, VA 22313-1450

**STATEMENT OF LOUIS FABRI**  
**UNDER 37 C.F.R. §1.48(a)(1)**

Sir:

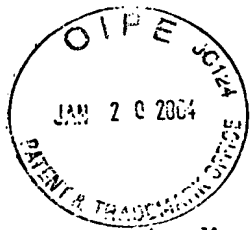
I, Louis Fabri, declare and say that:

(1) I have read the Petition to Add to and Delete Original Named Inventors under  
37 C.F.R. §1.48(a) submitted with this statement;

(2) I was originally omitted as a named co-inventor of the subject matter  
embodied in the U.S. Patent Application Serial No. 09/037,657 due to an error which occurred  
without any deceptive intention on my part.

X 27/11/03  
\_\_\_\_\_  
DATED

X   
\_\_\_\_\_  
LOUIS FABRI



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Douglas J. Hilton, et al.

**Examiner:** F. Hamud

**Serial No.:** 09/037,657

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SAME

Commissioner for Patents  
Alexandria, VA 22313-1450

**STATEMENT OF ANDREW NASH**  
**UNDER 37 C.F.R. §1.48(a)(1)**

Sir:

I, Andrew Nash, declare and say that:

(1) I have read the Petition to Add to and Delete Original Named Inventors under  
37 C.F.R. §1.48(a) submitted with this statement;

(2) I was originally omitted as a named co-inventor of the subject matter  
embodied in the U.S. Patent Application Serial No. 09/037,657 due to an error which occurred  
without any deceptive intention on my part.

X 27/11/03.  
\_\_\_\_\_  
DATED

X Andrew Nash.  
\_\_\_\_\_  
ANDREW NASH



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Douglas J. Hilton, et al.

**Examiner:** F. Hamud

**Serial No.:** 09/037,657

**Art Unit:** 1647

**Filed:** March 10, 1998

**Docket:** 10857Z

**For:** A NOVEL HAEMOPOIETIN RECEPTOR  
AND GENETIC SEQUENCES ENCODING  
SAME

Commissioner for Patents  
Alexandria, VA 22313-1450

**STATEMENT OF DONALD METCALF**  
**UNDER 37 C.F.R. §1.48(a)(1)**

Sir:

I, Donald Metcalf, declare and say that:

(1) I have read the Petition to Add to and Delete Original Named Inventors under  
37 C.F.R. §1.48(a) submitted with this statement;

(2) I was originally named as a co-inventor of the subject matter embodied in the  
U.S. Patent Application Serial No. 09/037,657 due to an error which occurred without any  
deceptive intention on my part.

*f Dec 12 2003.*  
DATED

*Donald Metcalf*  
DONALD METCALF



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Douglas J. Hilton, et al.

**Examiner:** F. Hamud

**Serial No.:** 09/037,657

**Art Unit:** 1647

**Filed:** March 10, 1998

**Docket:** 10857Z

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AND GENETIC SEQUENCES ENCODING  
SAME

Commissioner for Patents  
Alexandria, VA 22313-1450

**STATEMENT UNDER 37 C.F.R. §1.48(a)(5)**  
**ESTABLISHING RIGHT OF ASSIGNEE TO PROSECUTE**

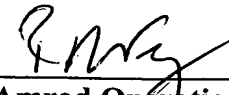
In accordance with the provisions of 37 C.F.R. §1.48(a)(5), the assignee of all right, title and interest in and to U.S. Patent Application Serial No. 09/037,657, which is the subject of the accompanying Petition to Add and to Delete Original Named Inventors, agrees to delete one co-inventor and add eight co-inventors to the originally named inventors.

Specifically, the assignee, Amrad Operations Pty. Ltd., agrees to the addition of Alison Farley, Warren Alexander, Steven Rakar, Louis Fabri, Tetsuo Kojima, Masatsugu Maeda, Yasufumi Kikuchi and Andrew Nash, and the deletion of Donald Metcalf as named co-inventors in the above-identified application.

Enclosed herewith is a copy of the assignment from the originally named inventors of the subject matter of the above-identified application, which assignment was recorded at Reel 9524, Frame 0223 on October 14, 1998 in the U.S. Patent and Trademark Office.

A certification of assignee under 37 C.F.R. §3.73(b) is also enclosed.

Dated: 20 December 2003

  
\_\_\_\_\_  
Amrad Operations Pty. Ltd.  
Rm Fry  
Company Secretary



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

FEBRUARY 11, 1999

PTAS

SCULLY, SCOTT, MURPHY & PRESSER  
LEOPOLD PRESSER  
400 GARDEN CITY PLAZA  
GARDEN CITY, NY 11530



\*100860197A\*

- COPY -

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/14/1998

REEL/FRAME: 9524/0223  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
HILTON, DOUGLAS J.

DOC DATE: 06/09/1998

ASSIGNOR:  
WILSON, TRACY

DOC DATE: 06/08/1998

ASSIGNOR:  
NICOLA, NICOS A.

DOC DATE: 06/09/1998

ASSIGNOR:  
METCALF, DONALD

DOC DATE: 06/05/1998

ASSIGNOR:  
ZHANG, JIAN GUO

DOC DATE: 06/09/1998

ASSIGNEE:  
AMRAD OPERATIONS PTY. LTD.  
576 SWAN STREET  
RICHMOND, VICTORIA 3121, AUSTRALIA



Tab settings

10-26-1998



100860197

cket No.: 10857Z

RECEIVED  
U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

JUN 29 1998

To the Honorable Commissioner of Patents and Trademarks, record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Douglas J. Hilton, Tracy Willson, Nicos A. Nicolas Donald Metcalf and Jian Guo Zhang

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

MRD 6-29-98

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

2. Name and address of receiving party(ies):

Name: AMRAD Operations Pty. Ltd.

Address: 576 Swan Street

Richmond

City: Victoria 3121

State/Prov.:

Country: Australia

ZIP:

Execution Date: June 9, 1998, June 8, 1998, June 9, 1998, June 5, 1998 and June 9, 1998

Additional name(s) & address(es)

☐ Yes

☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

09/037,657

March 10, 1998

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Leopold Presser

Registration No. 19,827

Address: Scully, Scott, Murphy & Presser

400 Garden City Plaza

City: Garden City

State/Prov.: New York

Country: USA

ZIP: 11530

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

19-1013

07/09/1998 DHGUYEN 00000043 09037657

DO NOT USE THIS SPACE

01 FC:581

40.00 OP

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Edward W. Grolz

Name of Person Signing

Signature

June 25, 1998

Date

Total number of pages including cover sheet, attachments, and

2

WHEREAS: the ASSIGNOR, comprising the following named inventor(s)

INVENTOR  
(full name  
with address)

1. Tracy WILLSON, of 26 Fortuna Avenue, North Balwyn, Victoria,  
3104, Australia;
2. Nicos A. NICOLA, of 56 Churchill Avenue, Mont Albert, Victoria,  
3127, Australia;
3. Douglas HILTON, of 244 Research Road, Warrandyte, Victoria,  
3133, Australia
4. Donald METCALF, of 268 Union Road, Balwyn, Victoria, 3103,  
Australia; and
5. Jian' Guo ZHANG, of 3 Kerri Crescent, Hoppers Crossing, Victoria,  
3029, Australia
6. \_\_\_\_\_
7. \_\_\_\_\_
8. \_\_\_\_\_

has invented and owns a certain invention entitled:

TITLE

"A novel haemopoietin receptor and genetic sequences encoding same"

for which application for Letters Patent of the United States was executed on even date herewith,  
and

ASSIGNEE  
(with address)

WHEREAS, the ASSIGNEE AMRAD Operations Pty. Ltd., of 576  
Swan Street, Richmond, Victoria, 3121, Australia

is desirous of acquiring the entire interest in, to and under said invention and the United States  
Letters Patent to be obtained therefor:

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in  
consideration of the payment by ASSIGNEE to ASSIGNOR of the sum corresponding to  
One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and  
valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full  
and exclusive right, title and interest to said invention and all Letters Patent of the United  
States to be obtained therefor on said application or any continuation, division, renewal,  
substitute or reissue thereof for the full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has  
been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided  
promptly with all pertinent facts and documents relating to said application, said invention  
and said Letters Patent as may be known and accessible to ASSIGNOR, and will testify as to  
the same in any interference or litigation related thereto, and will promptly execute and  
deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits  
required to apply for, obtain, maintain and enforce said application, said invention and said  
Letters Patent which may be necessary or desirable to carry out the purposes hereof.

IN WITNESS WHEREOF, the undersigned inventors have hereunto set hand and seal  
this 9 day of June 19 98

SIGNATURE

DATED: 8 June 1998  
DATED: 9 June 1998  
DATED: 5 June 1998  
DATED: 9 June 1998

1. Tracy WILLSON
2. NICOS A. NICOLA
3. Douglas J. HILTON
4. Donald METCALF
5. Jian' Guo ZHANG
6. \_\_\_\_\_
7. \_\_\_\_\_
8. \_\_\_\_\_

NO LEGALIZATION REQUIRED



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Douglas J. Hilton, et al.

**Examiner:** F. Hamud

**Serial No.:** 09/037,657

**Art Unit:** 1647

**Filed:** March 10, 1998

**Docket:** 10857Z


**For:** A NOVEL HAEMOPOIETIN RECEPTOR  
AND GENETIC SEQUENCES ENCODING  
SAME

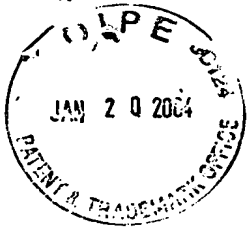
Commissioner for Patents  
Alexandria, VA 22313-1450

**STATEMENT OF ASSIGNEE UNDER 37 C.F.R. §3.73(b)**

This is to certify on behalf of Amrad Operations Pty. Ltd. that the assignment accompanying the Petition to Add to and Delete Original Named Inventors has been reviewed and, to the best of the assignee's knowledge and belief, title is in the assignee seeking to take action in this matter.

Dated: 2 December 2003

  
R. M. FRY COMPANY SECRETARY  
Amrad Operations Pty. Ltd.  
576 Swan Street  
Richmond, Victoria 3121  
Australia



PTO/SB/01 (6-95)  
Approved for use through 6/30/96 OMB 0651-0032  
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Docket Number: **10857Z**

## DECLARATION FOR PATENT APPLICATION

As a below named inventor, we hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **A NOVEL HAEMOPOIETIN RECEPTOR AND GENETIC SEQUENCES ENCODING SAME**, the specification of which is attached hereto unless the following box is checked:

☒ was filed on March 10, 1998 as United States Application Number or PCT International Application Number 09/037,657 and was Amended on 11/16/00, 5/28/02, 2/13/03 and 11/17/03 (if application).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

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I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

(Number)	(Country)	(Day/Month/Year Filed)	Priority Not Claimed <input type="checkbox"/>
PO 2246/96	Australia	11 September 1996	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/>

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

(Application Number) (Filing Date)

(Application Number) (Filing Date)

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

08/928,720	September 11, 1997	Abandoned
(Application Number)	(Filing Date)	(Status—patented, pending, abandoned)

(Application Number)	(Filing Date)	(Status—patented, pending, abandoned)
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I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Leopold Presser, Reg. No. 19,827; William C. Roch, Reg. No. 24,972; Frank S. DiGiglio, Reg. No. 31,346; Paul J. Esatto, Jr., Reg. No. 30,749; John S. Sensmy, Reg. No. 28,757; Mark J. Cohen, Reg. No. 32,211; Edward W. Grolz, Reg. No. 33,705; Peter I. Bernstein, Reg. No. 43,497; Thomas Spinelli, Reg. No. 39,533

Address all telephone calls to: Leopold Presser (516) 742-4343

Address all correspondence to: Leopold Presser, Esq.  
SCULLY, SCOTT, MURPHY & PRESSER  
400 Garden City Plaza  
Garden City, New York 11530

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor (given name, family name) Douglas J. Hilton

Inventor's signature  Date 2 Dec 2003

Residence Warrandyte, Victoria, Australia Citizenship Australian

Post Office Address 244 Research Road  
Warrandyte, Victoria 3113, Australia

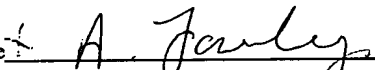
Full name of second inventor (given name, family name) Nicos A. Nicola

Inventor's signature  Date 2 Dec, 2003

Residence Mont Albert, Victoria, Australia Citizenship Australian

Post Office Address 56 Churchill Avenue  
Mont Albert, Victoria 3127, Australia

Full name of third inventor (given name, family name) Alison Farley

Inventor's signature  Date 4/12/03

Residence Port Fairy, Victoria, Australia Citizenship Australian

Post Office Address 36 Albert Street  
Port Fairy, Victoria 3284, Australia

Full name of fourth inventor (given name, family name) Tracy Willson

Inventor's signature  Date 2/12/03

Residence North Balwyn, Victoria, Australia Citizenship Australian

Post Office Address 26 Fortuna Avenue  
North Balwyn, Victoria, 3104, Australia

Full name of **fifth** inventor (given name, family name) **Jian-Guo Zhang**

Inventor's signature X Date X 02/12/2003

Residence North Melbourne, Australia Citizenship Australian

Post Office Address E4/309-335 Abbotsford St.  
North Melbourne, 3051, Australia

Full name of **sixth** inventor (given name, family name) **Warren Alexander**

Inventor's signature X W Alexander Date X 2/12/2003

Residence Moonee Ponds, Victoria, Australia Citizenship Australian

Post Office Address 13 Park Street  
Moonee Ponds, Victoria, 3039, Australia

Full name of **seventh** inventor (given name, family name) **Steven Rakar**

Inventor's signature X S Rakar Date X 2/12/03

Residence Avondale Heights, Victoria Citizenship Australian

Post Office Address 34 Riverside Avenue  
Avondale Heights, Victoria, 3034, Australia

Full name of **eighth** inventor (given name, family name) **Louis Fabri**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Diamond Creek, Victoria, Australia Citizenship Australian

Post Office Address 41 Phipps Crescent  
Diamond Creek, Victoria, 3082, Australia

Full name of **ninth** inventor (given name, family name) **Tetsuo Kojima**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Gotemba, Shizuoka, Japan Citizenship Japanese

Post Office Address 1512 Higashitanaka  
Gotemba, Shizuoka, 412-0026, Japan

Full name of **tenth** inventor (given name, family name) **Masatsugu Maeda**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Tsukuba, Ibaraki, Japan Citizenship Japanese

Post Office Address 1-6-2-605 Kasuga  
Tsukuba, Ibaraki, 305-0821, Japan

Full name of **eleventh** inventor (given name, family name) **Yasufumi Kikuchi**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Mishima, Shizuoka, Japan Citizenship Japanese

Post Office Address 3-22-2 Tokura  
Mishima, Shizuoka, 411-0044, Japan

Full name of **twelfth** inventor (given name, family name) **Andrew Nash**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Kew, Victoria, Australia Citizenship Australian

Post Office Address 185 Peel Street  
Kew, Victoria, 3101, Australia



PTO/SB/01 (6-95)  
Approved for use through 6/30/96 OMB 0651-0032  
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Docket Number: **10857Z**

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<u>08/928,720</u> (Application Number)	<u>September 11, 1997</u> (Filing Date)	<u>Abandoned</u> (Status--patented, pending, abandoned)
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<u>                    </u> (Application Number)	<u>                    </u> (Filing Date)	<u>                    </u> (Status--patented, pending, abandoned)
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I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Leopold Presser, Reg. No. 19,827; William C. Roch, Reg. No. 24,972; Frank S. DiGiglio, Reg. No. 31,346; Paul J. Esatto, Jr., Reg. No. 30,749; John S. Sensny, Reg. No. 28,757; Mark J. Cohen, Reg. No. 32,211; Edward W. Grolz, Reg. No. 33,705; Peter I. Bernstein, Reg. No. 43,497; Thomas Spinelli, Reg. No. 39,533

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**SCULLY, SCOTT, MURPHY & PRESSER**  
400 Garden City Plaza  
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Full name of first inventor (given name, family name) **Douglas J. Hilton**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Warrandyte, Victoria, Australia Citizenship Australian

Post Office Address 244 Research Road  
Warrandyte, Victoria 3113, Australia

Full name of second inventor (given name, family name) **Nicos A. Nicola**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Mont Albert, Victoria, Australia Citizenship Australian

Post Office Address 56 Churchill Avenue  
Mont Albert, Victoria 3127, Australia

Full name of third inventor (given name, family name) **Alison Farley**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Port Fairy, Victoria, Australia Citizenship Australian

Post Office Address 36 Albert Street  
Port Fairy, Victoria 3284, Australia

Full name of fourth inventor (given name, family name) **Tracy Willson**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence North Balwyn, Victoria, Australia Citizenship Australian

Post Office Address 26 Fortuna Avenue  
North Balwyn, Victoria, 3104, Australia

Full name of fifth inventor (given name, family name) **Jian-Guo Zhang**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence North Melbourne, Australia Citizenship Australian

Post Office Address E4/309-335 Abbotsford St.  
North Melbourne, 3051, Australia

Full name of sixth inventor (given name, family name) **Warren Alexander**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Moonee Ponds, Victoria, Australia Citizenship Australian

Post Office Address 13 Park Street  
Moonee Ponds, Victoria, 3039, Australia

Full name of seventh inventor (given name, family name) **Steven Rakar**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Avondale Heights, Victoria Citizenship Australian

Post Office Address 34 Riverside Avenue  
Avondale Heights, Victoria, 3034, Australia

Full name of eighth inventor (given name, family name) **Louis Fabri**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Diamond Creek, Victoria, Australia Citizenship Australian

Post Office Address 41 Phipps Crescent  
Diamond Creek, Victoria, 3082, Australia

Full name of ninth inventor (given name, family name) **Tetsuo Kojima**

Inventor's signature T Kojima Date 8. Dec. 2003

Residence Gotemba, Shizuoka, Japan Citizenship Japanese

Post Office Address 1512 Higashitanaka  
Gotemba, Shizuoka, 412-0026, Japan

Full name of tenth inventor (given name, family name) **Masatsugu Maeda**

Inventor's signature Masatsugu Maeda Date 11 Dec, 2003

Residence Tsukuba, Ibaraki, Japan Citizenship Japanese

Post Office Address 1-6-2-605 Kasuga  
Tsukuba, Ibaraki, 305-0821, Japan

Full name of eleventh inventor (given name, family name) **Yasufumi Kikuchi**

Inventor's signature Y. Kikuchi Date 8 Dec. 2003

Residence Mishima, Shizuoka, Japan Citizenship Japanese

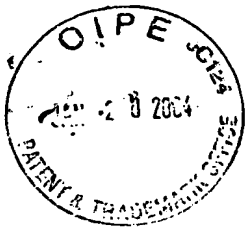
Post Office Address 3-22-2 Tokura  
Mishima, Shizuoka, 411-0044, Japan

Full name of twelfth inventor (given name, family name) **Andrew Nash**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Kew, Victoria, Australia Citizenship Australian

Post Office Address 185 Peel Street  
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PO 2246/96      Australia      11 September 1996  
(Number)      (Country)      (Day/Month/Year Filed)

Priority Not Claimed

☐

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08/928,720      September 11, 1997      Abandoned  
(Application Number)      (Filing Date)      (Status--patented, pending, abandoned)

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Full name of first inventor (given name, family name) **Douglas J. Hilton**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Warrandyte, Victoria, Australia Citizenship Australian

Post Office Address 244 Research Road  
Warrandyte, Victoria 3113, Australia

Full name of second inventor (given name, family name) **Nicos A. Nicola**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Mont Albert, Victoria, Australia Citizenship Australian

Post Office Address 56 Churchill Avenue  
Mont Albert, Victoria 3127, Australia

Full name of third inventor (given name, family name) **Alison Farley**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Port Fairy, Victoria, Australia Citizenship Australian

Post Office Address 36 Albert Street  
Port Fairy, Victoria 3284, Australia

Full name of fourth inventor (given name, family name) **Tracy Willson**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence North Balwyn, Victoria, Australia Citizenship Australian

Post Office Address 26 Fortuna Avenue  
North Balwyn, Victoria, 3104, Australia

Full name of **fifth** inventor (given name, family name) **Jian-Guo Zhang**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence **North Melbourne, Australia** Citizenship **Australian**

Post Office Address **E4/309-335 Abbotsford St.**  
**North Melbourne, 3051, Australia**

Full name of **sixth** inventor (given name, family name) **Warren Alexander**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence **Moonee Ponds, Victoria, Australia** Citizenship **Australian**

Post Office Address **13 Park Street**  
**Moonee Ponds, Victoria, 3039, Australia**

Full name of **seventh** inventor (given name, family name) **Steven Rakar**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence **Avondale Heights, Victoria** Citizenship **Australian**

Post Office Address **34 Riverside Avenue**  
**Avondale Heights, Victoria, 3034, Australia**

Full name of **eighth** inventor (given name, family name) **Louis Fabri**

Inventor's signature  \_\_\_\_\_ Date **27/11/03**

Residence **Diamond Creek, Victoria, Australia** Citizenship **Australian**

Post Office Address **41 Phipps Crescent**  
**Diamond Creek, Victoria, 3082, Australia**

Full name of **ninth** inventor (given name, family name) **Tetsuo Kojima**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence **Gotemba, Shizuoka, Japan** Citizenship **Japanese**

Post Office Address **1512 Higashitanaka**  
**Gotemba, Shizuoka, 412-0026, Japan**

Full name of **tenth** inventor (given name, family name) **Masatsugu Maeda**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence **Tsukuba, Ibaraki, Japan** Citizenship **Japanese**

Post Office Address **1-6-2-605 Kasuga**  
**Tsukuba, Ibaraki, 305-0821, Japan**

Full name of eleventh inventor (given name, family name) **Yasufumi Kikuchi**

Inventor's signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Mishima, Shizuoka, Japan Citizenship Japanese

Post Office Address 3-22-2 Tokura  
Mishima, Shizuoka, 411-0044, Japan

Full name of twelfth inventor (given name, family name) **Andrew Nash**

Inventor's signature X Andrew Nash Date X 27/11/03

Residence Kew, Victoria, Australia Citizenship Australian

Post Office Address 185 Peel Street  
Kew, Victoria, 3101, Australia